

10/565404

IAP15 Rec'd PCT/PTO 23 JAN 2006

Attorney Docket No. 06038/LH

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): K. KAWANO et al

Serial No. : National Phase of
PCT/JP2005/010508

Filed : Herewith

For : WAVEGUIDE TYPE
OPTICAL DEVICE

Art Unit :
Examiner :

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450,
Alexandria, VA 22313-1450

S I R :

Submitted herewith is a copy of the International Search Report issued in connection with International Application No. PCT/JP2005/010508, on which the present U.S. National Phase application is based, along with copies of the publications identified on the attached Patent Office forms PTO/SB/08A and 08B.

The publication by Kenji Kohno is identified on page 7 of the specification.

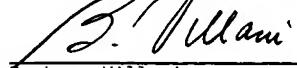
USP 5,999,667 is a family member of JP 10-274759.

USP 5,613,026 and 5,727,105 are family members of JP 7-198997.

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Barbara Villani

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It is respectfully requested that the publications submitted herewith be considered and made of record.

Respectfully submitted,



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PTO/SB/08A (08-00)

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Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number		10/565404
		Filing Date		Herewith
		First Named Inventor		KAWANO
		Group Art Unit		
		Examiner Name		
Sheet	1	of	2	Attorney Docket Number

U.S. PATENT DOCUMENTS

Exam. Initials	Cite No ¹	Document Number	Kind Code ²	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion
		5,999,667	A	CASTOLDI	12-07-1999	
		5,613,026	A	NAGATA ET AL	03-18-1997	
		5,727,105	A	NAGATA ET AL	03-10-1998	

FOREIGN PATENT DOCUMENTS

Exam. Initials	Cite No ¹	Offc ³	Document Number ⁴	Kind Code ⁵	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T ⁶
		JP	3-103805	A		04-30-1991		
		JP	4-350804	A		12-04-1992		
		JP	8-271215	A		10-18-1996		
		JP	8-261713	A		10-11-1996		
		JP	11-167035	A		06-22-1999		
		JP	2000-223793	A		08-11-2000		
		JP	2003-207664	A		07-25-2003		
		JP	10-274759	A		10-13-1998		
		JP	2000-131658	A		05-12-2000		
		JP	7-198997	A		08-01-1995		
		JP	8-114723	A		05-07-1996		

Examiner Signature	Date Considered
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Place a check here if English translation is attached.

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		Group Art Unit	
		Examiner Name	
Sheet	2	of	2
		Attorney Docket Number	06038/LH

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of author (in CAPITAL LETTERS), title of article, title of item, date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Kenji KAWANO; "Basis and Application of Optical Coupling System for Optical Devices"; Second Edition, Gendai Kogakusha, June 1998, p. 45 and 168.	
Examiner Signature		Date Considered	

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